



# Transition to New Forms and Performance Measures

**I do what. . .when... and why??**

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Science Applications International Corporation



# Overview

- Background on new OMB approved forms and performance measures
- New Forms and Performance Measures (PMs)
- Transition to new system
- Impacts on reporting activities
- Summary
- Questions



# Background

- On October 31, 2009, the Office of Management and Budget (OMB) approved revisions to the MCHB performance reporting administrative forms and Performance Measures (PMs)
- Performance reporting requirements for the forms and PMs were reviewed and revised for all MCHB programs
- All MCHB programs have new reporting requirements





# H61 Forms and PMs

- Forms/PMs assigned to Universal Newborn Hearing Screening (H61)
  - Financial Forms:
    - Form 1, MCHB Project Budget Details
    - Form 2, Project Funding Profile
    - Form 4, Project Budget and Expenditures by Types of Services
  - Program Forms:
    - Form 6, Abstract
    - Form 7, Summary Data
  - Data Form:
    - Products, Publications and Submissions



# D70 Forms and PMs

## – Performance Measures:

- PM07 (revised), The degree to which MCHB-funded programs ensure family, youth, and consumer participation in program and policy activities
- PM10 (revised), The degree to which MCHB-funded programs have incorporated cultural and linguistic competence elements into their policies, guidelines, contracts and training
- PM24 (new), The degree to which MCHB-funded initiatives contribute to infrastructure development through core public health assessment, policy development and assurance functions
- PM31 (revised), The degree to which grantees have assisted States and communities in planning and implementing comprehensive, coordinated care for MCH populations
- PM33 (new), The degree to which MCHB-funded initiatives work to promote sustainability of their programs or initiatives beyond the life of MCHB funding
- PM41 (new), The degree to which grantees have assisted in developing, supporting, and promoting medical homes for MCH populations

# Reporting Period for H61 Forms and PMs



- These **NEW** set of forms/PMs are to be used beginning in FY2010
  - Reporting period 4/1/2010 – 3/31/2011
  - Reporting period 9/1/2010 – 8/30/2011





# Transition to New Forms/PMs

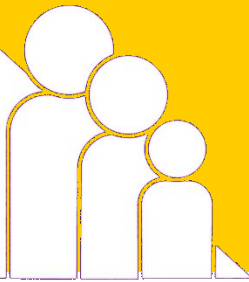
- Old system, with current forms and PMs, closed in December 2010
- *New* system, with *New* OMB approved forms and PMs, to open in January 2011



December 2010



Early 2011



# Reporting Impacts

- Different reporting activities for:
  - Grants ending in 2011
  - Grants ending in 2012







# Reporting Impacts

- Reporting activities for grants ending in 2011
  - Next reporting activity will be the project period end performance report (PPE PR)
    - Grants ending 3/31/2011
      - Reporting period: 4/1/2010-3/31/2011
      - PPE PR will be opened in April 2011
      - 90 days to complete the PPE PR
    - Grants ending 8/31/2011
      - Reporting period: 9/1/2010-8/31/2011
      - PPE PR will be opened in September 2011
      - 90 days to complete the PPE PR
  - If receive no-cost extension, PPE PR will be due 90 days after project period end



# Reporting Impacts

- PPE PR forms and PMs
  - Will use new forms and PMs for this project period end performance report
    - Financial Forms: Form 2 and Form 4
    - Program Forms: Form 6 and Form 7
    - Performance Measures: **PM07**, **PM10**, **PM24**, **PM31**, **PM33**, and **PM41**
      - Past years' data will not be displayed on revised PMs
      - Prior years will be blank for new PMs
    - Data Form: Products, Publications and Submissions Form

**Blue** = revised / **Green** = new



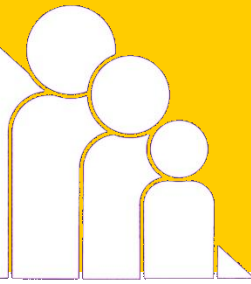
# Reporting Impacts

- Reporting activities for grants ending in 2012
  - Grantees with project periods ending in 2012 will need to complete a FY2011 non-competing continuation (NCC) progress report
    - No longer through grants.gov. Only EHB
    - NCC Progress Report includes:
      - Completing budget forms in EHB
      - Uploading program narrative, budget justification and other attachments
    - All budgetary changes must be completed through Prior Approval process in EHB - May not be done through NCC progress report
    - No provisional data collected for forms and PMs



# Reporting Impacts

- Reporting activities for grants ending in 2012
  - Performance Report will open after FY2011 NGA released
    - April 1 starts
      - Reporting period: 4/1/2010-3/31/2011
      - PR will be opened in April 2011
      - 120 days to complete the PR
    - September 1 starts
      - Reporting period: 9/1/2010-8/31/2011
      - PR will be opened in September 2011
      - 120 days to complete the PR



# Reporting Impacts

- PR forms and PMs
  - Will use new forms and PMs for this project period end performance report
    - Financial Forms: Form 1, Form 2 and Form 4
      - Carryover funds need to be entered on Form 1
    - Program Forms: Form 6 and Form 7
    - Performance Measures: **PM07**, **PM10**, **PM24**, **PM31**, **PM33**, and **PM41**
      - Past years' data will not be displayed on revised PMs
      - Prior years will be blank for new PMs
    - Data Form: Products, Publications and Submissions Form

**Blue** = revised / **Green** = new



# Carryover Funds – Form 2

Project Funding Profile						
	6/1/2007 - 5/31/2008 <small>(FY 2007)</small>		6/1/2008 - 5/31/2009 <small>(FY 2008)</small>		6/1/2009 - 5/31/2010 <small>(FY 2009)</small>	
	Budgeted	Expended	Budgeted	Expended	Budgeted	Expended
* 1. MCHB Grant Award Amount <i>(Amount from NGA)</i>	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
* 2. Unobligated Balance <i>(Amount from NGA)</i>	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
3. Matching Funds <i>(Amount from NGA)</i>	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
* 4. Other Project Funds <i>(Amount from NGA)</i>	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
* 5. Total Project Funds	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
* 6. Total Collaborative Federal Funds	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
	6/1/2010 - 5/31/2011 <small>(FY 2010)</small>		6/1/2011 - 5/31/2012 <small>(FY 2011)</small>			
	Budgeted	Expended	Budgeted	Expended		
* 1. MCHB Grant Award Amount <i>(Amount from NGA)</i>	\$ <u>75000</u>	\$ <input type="text" value="74000"/>	\$ <u>84445</u> <small>\$84,445</small>	\$ _____		
* 2. Unobligated Balance <i>(Amount from NGA)</i>	\$ <u>0</u>	\$ <input type="text" value="0"/>	\$ <u>10000</u> <small>\$0</small>	\$ _____		
3. Matching Funds <i>(Amount from NGA)</i>	\$ _____	\$ _____	\$ _____ <small>\$0</small>	\$ _____		
* 4. Other Project Funds <i>(Amount from NGA)</i>	\$ <u>250</u>	\$ <input type="text" value="250"/>	\$ <u>200</u> <small>\$0</small>	\$ _____		
* 5. Total Project Funds	\$ <u>75250</u>	\$ <u>74250</u>	\$ <u>94645</u>	\$ _____		
* 6. Total Collaborative Federal Funds	\$ _____	\$ <input type="text" value="0"/>	\$ <u>0</u>	\$ _____		

Cancel

Save




# Carryover Funds – Form 1

D01: (93.999)

MCHB Program Specific Forms

HELP

Form 1 [Instructions](#) 

Grant Number: D01MC00040

Fields marked with an (\*) are required.

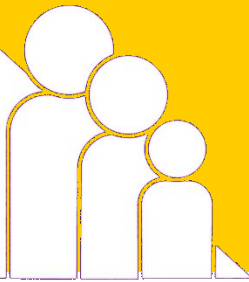
### Instructions

The values in blue represent the dollar amounts from your Notice of Grant Award.

Line 2, Unobligated Balance should contain the amount of carryover funds from the previous budget period that are to applied to the current budge period.

### MCH Project Budget Details For 6/1/2011 to 5/31/2012 (FY 2011)

<b>* 1. MCHB GRANT AWARD AMOUNT</b> <i>(Amount from NGA)</i>		\$ <input type="text" value="84445"/>	\$84,445
<b>* 2. UNOBLIGATED BALANCE</b> <i>(Amount from NGA)</i>		\$ <input type="text" value="10000"/>	\$0
<b>3. MATCHING FUNDS</b> (Required: No ) <i>(Amount from NGA)</i>		\$ <input type="text" value="0"/>	\$0
A. Local Funds	\$ <input type="text" value=""/>		
B. State Funds	\$ <input type="text" value=""/>		
C. Program Income	\$ <input type="text" value=""/>		
D. Applicant/Grantee Funds	\$ <input type="text" value=""/>		
E. Other Funds	\$ <input type="text" value=""/>		
<b>* 4. OTHER PROJECT FUNDS</b> <i>(Amount from NGA)</i>		\$ <input type="text" value="200"/>	\$0
A. Applicant/Grantee Funds (includes in-kind)	\$ <input type="text" value="100"/>		
B. State Funds	\$ <input type="text" value="100"/>		
C. Local Funds	\$ <input type="text" value="0"/>		
D. Other Funds (including private sector, e.g., Foundations)	\$ <input type="text" value="0"/>		
E. Program Income (Clinical or Other)	\$ <input type="text" value="0"/>		
<b>5. TOTAL PROJECT FUNDS</b> (Total lines 1 through 4)		\$ <input type="text" value="94645"/>	

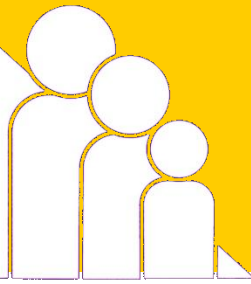


# Summary

- Grants ending 2011 will complete a Project Period End Performance Report
  - Use *New* forms/PMs
  - Reporting period:
    - 4/1/2010 - 3/31/2011 or
    - 9/1/2010 - 8/31/2011
  - 90 days to submit
  - If no cost extension granted, PPE PR will open once the extension has
  - Past years' data on revised PMs will not be displayed
  - Prior years will be blank for new PMs







# Summary

- Grants ending in 2012
  - Will complete a FY2011 NCC Progress Report
    - No provisional data collected for forms and PMs
  - Performance Report
    - Use *New* forms/PMs
    - Reporting period:
      - 4/1/2010 - 3/31/2011 or
      - 9/1/2010 - 8/31/2011
    - 120 days to submit
    - Carryover funds need to be entered on Form 1
    - Past years' data on revised PMs will not be displayed
    - Prior years will be blank for new PMs



# Questions??

- Will be happy to take any questions



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